

Substitute for form 1449/PTO, based on PTO/SB/09A and 08B

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Application Number	10/660,382
Filing Date	September 10, 2003
First Named Inventor	Graetz et al.
Art Unit	1795
Examiner Name	Lee, Cynthia K
Attorney Docket Number	26-06

Confirmation No. 6022

GWS 4/23/10

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Cite No. <sup>1</sup>	Document Number (US-)	Publication Date (MM-DD-YYYY)	Name	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear (or entire document unless noted otherwise)
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**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No. <sup>1</sup>	Foreign Patent Document Number (include WIPO country code)	Publication Date (MM-DD-YYYY)	Name	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear (or entire document unless noted otherwise)	T <sup>2</sup>
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**NON-PATENT LITERATURE DOCUMENTS**

NON-PATENT DOCUMENTS			
Examiner Initial*	Cite No. <sup>1</sup>	REFERENCE	T <sup>2</sup>
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
	1	Graetz, J. et al. (2004) "Nanocrystalline and Thin Film Germanium Electrodes with High Lithium Capacity and High Rate Capabilities," <i>Journal of the Electrochemical Society</i> , vol 151(5), pp A698-A702	

Examiner Signature	/Cynthia Lee/	Date Considered	06/18/2010
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here or "x" if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.L./